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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/942,520	08/29/2001	Wayne Odom	KARAWAY01-01	9628
00070	7590 04/10/2007 N MORISHITA		EXAM	INER
MORISHITA LAW FIRM, LLC 3800 HOWARD HUGHES PKWY, SUITE 850			HOEL, MATTHEW D	
			ART UNIT	PAPER NUMBER
LAS VEGAS,	NV 89169		3714	
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
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Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

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	Application No.	Applicant(s)	
	09/942,520	ODOM, WAYNE	
Office Action Summary	Examiner	Art Unit	
	Matthew D. Hoel	3714	
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet v	vith the correspondence address	;
A SHORTENED STATUTORY PERIOD FOR REI WHICHEVER IS LONGER, FROM THE MAILING Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory peri Failure to reply within the set or extended period for reply will, by sta Any reply received by the Office later than three months after the may earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN t 1.136(a). In no event, however, may a iod will apply and will expire SIX (6) MO atute, cause the application to become A	ICATION. reply be timely filed NTHS from the mailing date of this communi NBANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 25	5 July 2006.	•	
,—	his action is non-final.		
3) Since this application is in condition for allow			its is
closed in accordance with the practice unde	er <i>Ex parte Quayle</i> , 1935 C.	D. 11, 453 O.G. 213.	
Disposition of Claims			
4) ⊠ Claim(s) 7,8,12 and 14-28 is/are pending in 4a) Of the above claim(s) is/are witho 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) 7,8,12 and 14-28 is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and	drawn from consideration.		.
Application Papers			
9) ☐ The specification is objected to by the Exam 10) ☑ The drawing(s) filed on 11 September 2006 Applicant may not request that any objection to the Replacement drawing sheet(s) including the constant	is/are: a)⊠ accepted or b) the drawing(s) be held in abeya rection is required if the drawin	ance. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.1	121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of: 1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the p application from the International Bur * See the attached detailed Office action for a	ents have been received. ents have been received in a priority documents have been eau (PCT Rule 17.2(a)).	Application No n received in this National Stag	e
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date	Paper No	Summary (PTO-413) o(s)/Mail Date Informal Patent Application (PTO-152) 	

DETAILED ACTION

Claim Rejections - 35 USC § 101

- 1. 35 U.S.C. 101 reads as follows:
- 2. Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.
- Claims 15 to 18 are rejected under 35 U.S.C. 101 because the claimed invention 3. is directed to non-statutory subject matter. Claim 15 cites a method for playing a wagering game using an inventory of indicia. The claim as it is written appears to be merely a set of rules for playing a game, and as such, being a manipulation of abstract ideas. It does not pertain to an array of indicia, such as a virtual deck of cards, being stored in the physical memory of a gaming device, or being displayed on a physical display device such as a CRT or LCD when they are dealt to the player. It also does not pertain to a game played with a physical deck of cards on a physical playing table, such as that found in a casino. Method claims must have a concrete, tangible, and useful result. The claims should have a physical transformation or a concrete, tangible, and useful result. They should ideally involve accepting a wager from a player, playing a game with cards at a table, and awarding a player an award in the event of a winning outcome. Alternatively, the claims could cite accepting an input from a player via an input device, manipulating the data in memory by a processor according to the rules of the game, displaying the output to the player via an output device, and awarding the player in the event of a winning outcome. Please see MPEP 2106.

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4. Claims 23 to 25 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claim 23, 24, and 24 cite methods for playing a wagering game using an inventory of indicia. The claims as they are written appear to be merely sets of rules for playing a game, and as such, being manipulations of abstract ideas. They do not pertain to an array of indicia, such as a virtual deck of cards, being stored in the physical memory of a gaming device, or being displayed on a physical display device such as a CRT or LCD when they are dealt to the player. They also do not pertain to a game played with a physical deck of cards on a physical playing table, such as that found in a casino. Method claims must have a concrete, tangible, and useful result.

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
 - 1. Determining the scope and contents of the prior art.
 - 2. Ascertaining the differences between the prior art and the claims at issue.
 - 3. Resolving the level of ordinary skill in the pertinent art.
 - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

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7. Claims 7, 8, 12, and 14 to 28 rejected under 35 U.S.C. 103(a) as being unpatentable over Kinoshita, et al. (U.S. patent 5,967,894 A) in view of Richardson (U.S. patent 5,042,809 A).

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As to Claim 15: '894 teaches a method of conducting a wagering game using an 8. inventory of indicia, the inventory when fully constituted having X number of indicia arranged in sets of at least two indicia each (Abst.; hand comprising five indicia, Fig. 6). The player in '894 makes a wager to play each of a series of hands (sequence played for each hand, Fig. 7; indications showing remaining possible hands, Figs. 8a-c). For each hand of play, '894 randomly selects and displays a plurality of individual indicia from the inventory, the combination of indicia selected and displayed defining at least one hand outcome (hands determined and displayed, Fig. 7; hands dealt, Col. 3, Line 58 to Col. 4, Line 3). The dealt indicia are depleted from play in subsequent hands (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker; see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. '894 compares the hand outcome to a predetermined schedule of winning outcomes and if the hand matches one of the schedule of winning outcomes, issues an award to the player (Figs. 8a-c; Col. 8, Lines 31 to 42).

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9. Regarding the hand-to-hand play of '894, the examiner respectfully disagrees

with the applicants on this regard. The player of '894 is able to insert plural medals.

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(Col. 4, Line 66 to Col. 5, Line 14). The player is able to bet one medal on a particular

hand (5:50-65 "an inserted medal," also "1 BET" button 33, Fig. 4; the player is able to

bet one or plural medals on a given hand, "1 BET" 33 or "MAX BET" 32, Fig. 4, Col. 6,

Lines 43 to 59; Col. 8, Lines 42 to 53, play continues until the player runs out of medals

or player presses "COLLECT/PAYOUT", in the event the player inserts plural medals

and the player only bets one medal per hand by pressing "1 BET" each time, the game

will necessarily involve hand-to-hand play of several subsequent hands for at least as

long as the player has medals, for example if the player inserts five medals the player

will have at least five hands to play in the game, and each time the indicia left in the

deck of virtual cards will be more depleted as more cards are dealt from the deck on

each successive hands). The cards in '894 are randomly generated and are not in any

particular order (Col. 3, Lines 58 to 62. It is widely known in the art that a standard

poker deck used in most poker games is a 52-card deck with 4 suits of 13 cards each,

and the possible combinations of '894 are eliminated as indicia are drawn, so a pool of

finite indicia is depleted, though it is not generated or constituted in a predetermined

serial order which is not required by the claim language.

10. Prior to play, '894 displays the possible winning hands remaining in the indicia set in the inventory as depleted and displays any scheduled winning outcomes eliminated as a result of depletion of the inventory (Figs. 8a-c). '809, however, teaches displaying the number of indicia remaining in the indicia set in the inventory as depleted

and displays and scheduled winning outcomes eliminated as a result of depletion of the indicia inventory (Fig. 3: 10 of 12 majors left, 12 of 12 majors left, 16 of 16 majors left, 8 of 8 majors left, and 8 of 8 majors left; also indicators 36 of Fig. 3 showing number of chances remaining). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the more explicit indication of remaining indicia of '809 to the game of '894. Both games are games in which indicia are depleted and numbers of remaining winning outcomes are displayed to players (Figs. 8a-c, '894; Fig. 3, '809). The only major difference between '809 and '894 is that '809's winning combinations comprise of a single indicium and the winning combinations of '894 comprise are a combination of indicia. Claim 19 of '809 specifies sets of three symbols, which are analogous to the five-symbol combinations of '894. Some suggestion for this modification can also be found in Morris, et al. (U.S. patent 5,324,035 A). '035 is an indicia-depletion game very similar to '809 (Abst.); '035 displays the number of tickets left (168, Fig. 14) and the total number of winning tickets at each level (Fig. 15), also indicating when a player wins (Fig. 17); one of '035's embodiments is a poker game (Col. 5, Line 39). The advantage of this combination would be to more precisely indicate which indicia remain in the pool of indicia rather than simply indicating which kinds of winning combinations remain as is done in '894 Figs. 8a-c. It would be particularly advantageous to indicate specific remaining indicia in '894, because the player is able to indicate which cards to hold or discard (Fig. 8c), so knowing the actual indicia would allow the player to make his or her choices as to which indicia to hold or discard much more precise.

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As to Claim 19: '894 teaches an electronic device for conducting a game for a 11. player, the game utilizing an inventory of X number of game indicia arranged in sets of at least two indicia each when the inventory is fully constituted (Abst.; hand comprising five indicia, Figs. 1, 6). '894 teaches a computer processor storing data corresponding to the inventory (Fig. 5), a video display (Fig. 1), and means for a player to make a wager and prompt play of a game (medal selector 41, switches 53, and medal hopper 42, Fig. 5). The processor of '89, in response to the prompt, is configured to randomly select and display at the display a combination of individual indicia selected from the inventory of indicia (Fig. 6), the selected and displayed indicia combination defining at least one outcome (Figs. 8a-c), and the processor is configured to remove the selected indicia from selection for future hands (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker; see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. The processor is configured to compare each outcome to a predetermined schedule of winning outcomes stored in a data structure (Figs. 8a-c), to issue an award for each selected and displayed winning outcome (Col. 8, Lines 31 to 42), to control the display prior to play of the next hand data corresponding to the remaining inventory of indicia sets depleted of the displayed game indicia including the display of indicia from the inventory such that one or more scheduled winning outcomes

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are unavailable due to depletion (Figs. 8a-c, the examiner notes that this claim language only requires the display of winning outcomes no longer available and not the display of remaining individual indicia). The processor of '894 is configured to, for the next hand of play, select indicia from the depleted inventory (Fig. 6, Col. 3, Line 58 to Col. 4, Line 3).

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As to Claim 23: '894 teaches a method for conducting a wagering game using an 12. inventory of indicia, the inventory when fully constituted having X number of individual indicia (Abst.; while '894 does not explicitly disclosed a fixed number of indicia, it is inherent that the number is fixed since winning combinations become unavailable as indicia are dealt one by one from the pool of indicia, Figs. 8a-c). The player of '894 makes a wager to play each of a series of hands (Fig. 7). For each hand of play, '894 randomly selects and displays a plurality of individual indicia from the inventory, the combination of individual indicia selected and displayed defining a winning or losing outcome for the hand (Figs. 8a-c), and depleting said individual indicia from the inventory available for play of the next hand (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker; see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. '894 issues an award to the player for a winning outcome (Figs. 8a-c; Col. 8, Lines 31 to 42). Prior to the commencement of the next hand of play, '894

displays to the player information regarding winning outcomes eliminated by the depletion of indicia (Figs. 8a-c). '894 allows the player to make another wager to play a hand using the depleted inventory (Fig. 7; game continues, Col. 8, Lines 42 to 53).

As to Claim 24: '894 teaches a method for conducting a wagering game using an 13. inventory of indicia, the inventory when fully constituted having X number of indicia arranged in indicia sets of at least two indicia sets each (Abst.; while '894 does not explicitly disclosed a fixed number of indicia, it is inherent that the number is fixed since winning combinations become unavailable as indicia are dealt one by one from the pool of indicia. Figs. 8a-c). The player of '894 makes a wager to play each of a series of hands (Fig. 7). '809 teaches arranging the inventory into a random serial order 1 through X (chance symbols randomly generated and shuffled into array, Fig. 5A). '894 serially selects and displays a plurality of individual indicia from the inventory (Fig. 7), the combination of selected and displayed individual indicia defining a winning or losing outcome (Figs. 8a-c), and depleting the displayed indicia from the inventory available for play of subsequent hands (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker; see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. For a winning outcome, '894 issues an award to the player (Figs. 8a-c; Col. 8, Lines 31 to 42). Prior to the play of the next hand, '894 displays to the player any winning outcomes

eliminated by depletion of the indicia (Figs. 8a-c). '809 displays the number of each indicia remaining in each indicia set in the inventory as depleted of the prior selected and displayed inventory (Fig. 3). The player of '809 can make another wager to play the game using the depleted inventory or can command reconstitution of the inventory to X number of indicia prior to the play of the next game.

As to Claim 25: '894 teaches a method of conducting a wagering game using an 14. inventory of indicia sets, the inventory when fully constituted having X number of indicia (Abst.; while '894 does not explicitly disclosed a fixed number of indicia, it is inherent that the number is fixed since winning combinations become unavailable as indicia are dealt one by one from the pool of indicia, Figs. 8a-c). '894 allows a player to make a wager to play a game (Fig. 7). For each hand of play, '894 randomly selects and displays a plurality of indicia from the inventory into the coordinates of a game matrix (Fig. 7), the combinations of indicia in the game matrix defining a plurality of winning or losing outcomes (Figs. 8a-c), and depleting the displayed indicia from the inventory available for play of subsequent hands (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker; see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. Prior to play of a subsequent hand, '809 displays the number of indicia remaining in the sets in the inventory as depleted of the prior selected and displayed

inventory and any winning outcomes eliminated as a result of depletion (Fig. 3). '809 gives the player the option to make another wager to play a next game (Col. 11, Lines 37 to 45) using the depleted inventory or to command reconstitution of the inventory to X number of indicia prior to the play of the next game (Abst.; new deal, Fig. 2; new screen 42 of Fig. 4; Col. 3, Line 67 to Col. 4, Line 3).

As to Claim 26: '894 teaches an electronic device for conducting a game for a 15. player (Abst., Fig. 1). The game utilizes an inventory of X number of game indicia when the inventory is fully constituted (Abst.; while '894 does not explicitly disclosed a fixed number of indicia, it is inherent that the number is fixed since winning combinations become unavailable as indicia are dealt one by one from the pool of indicia, Figs. 8a-c). '894 teaches a computer processor storing data corresponding to the inventory (Fig. 5), a video display (Fig. 1), and means for a player to make a wager and prompt play of a game (medal selector 41, switches 53, and medal hopper 42, Fig. 5). The processor of '89, in response to the prompt, is configured to randomly select and display at the display a combination of individual indicia selected from the inventory of indicia (Fig. 6), the selected and displayed indicia combination defining at least one outcome (Figs. 8ac), and the processor is configured to remove the selected indicia from selection for future hands (indicia are depleted from inventory as certain combinations of indicia are no longer available when individual indicia are dealt from the inventory, if this were not the case the probabilities of the winning combinations would not change through the course of the game and the indicia would simply be randomly selected). It is widely known in the art to deplete indicia from a pool of indicia in a standard game of poker;

see for example, "Scarne's Encyclopedia of Card Games," Pages 7 to 9. The processor is configured to compare each outcome to a predetermined schedule of winning outcomes stored in a data structure (Figs. 8a-c), to issue an award for each selected and displayed winning outcome (Col. 8, Lines 31 to 42), to control the display prior to play of the next hand data corresponding to the remaining inventory of indicia sets depleted of the displayed game indicia including the display of indicia from the inventory such that one or more scheduled winning outcomes are unavailable due to depletion (Figs. 8a-c, the examiner notes that this claim language only requires the display of winning outcomes no longer available and not the display of remaining individual indicia). '809 gives the player the option to make another wager to play a next game (Col. 11, Lines 37 to 45) using the depleted inventory or to command reconstitution of the inventory to X number of indicia prior to the play of the next game (Abst.; new deal, Fig. 2; new screen 42 of Fig. 4; Col. 3, Line 67 to Col. 4, Line 3).

16. As to Claims 7, 17, and 27: '809 discloses reconstituting the inventory to X number of indicia when all of the major prizes have been awarded (Abst.). '809 does not disclose reconstituting to X indicia when a predetermined number of indicia remain in inventory. The applicants have not stated how this limitation solves any stated problem or is for any particular purpose. '809 does disclose reconstituting the pool of indicia at any time an indication is received by a player after at least one chance has been played (Abst.). 7In any event, this limitation is widely known in the art. Scarne in his chapter on Blackjack discloses a cut card 40 cards above the bottom of the stack of cards when cards are dealt from a stack comprising four decks of cards (Pages 282 to

- 284). The stack is automatically reshuffled when the cut card is revealed. This, along with the use of four decks instead of one, makes card counting extremely difficult and is a deterrent to cheating. Scarne also teaches the dealer reconstituting the deck at any time upon noticing player actions (Page 287), again, to prevent cheating. The examiner believes that this is sufficient motivation to make this modification to the game of the combination of '894 and '809. Moreover, the 103 combination of '894 and '809, or the applicants' own invention, would perform equally well modified to incorporate this limitation. Accordingly, it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have modified '809 or '894 such that the deck is reconstituted when a predetermined number of indicia remain in the inventory, because such a modification would have been an obvious design choice which fails to patentably distinguish above these references.
- 17. As to Claims 8, 14, 18, and 22: '809 randomly selects and displays indicia by arranging the indicia into a random, serial order 1 through X and selects and displays the indicia in order from the arranged inventory (Fig. 5A).
- 18. As to Claims 12 and 21: '894 allows the player to bet on a single payline (single hand of cards, Fig. 6). '894 does not address the limitation of multiple paylines. '809 does suggest this as it allows players to play multiple parallel games at the same time (Fig. 3). The 103 combination of '894 and '809 would thus have multiple paylines. The applicant has not stated that multiple paylines solves any stated problem or is for any particular purpose; it appears to be merely another embodiment. Moreover, it appears that '894, or the applicants' invention would perform equally well modified to incorporate

multiple paylines. Accordingly, it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have modified '894 to incorporate multiple paylines, because such a modification would have been considered a mere design choice which fails to patentably distinguish above '894.

19. As to Claims 16, 20, and 28: '809 gives the player the option to make another wager to play a next game (Col. 11, Lines 37 to 45) using the depleted inventory or to command reconstitution of the inventory to X number of indicia prior to the play of the next game (Abst.; new deal, Fig. 2; new screen 42 of Fig. 4; Col. 3, Line 67 to Col. 4, Line 3).

Response to Arguments

20. The amendments to the specification are accepted as they introduce no new matter. "1-X" has been clarified as "1 through X". The amendments to the drawings are accepted as they are now labeled "Replacement Sheet." The previous double-patenting rejection of Claim 15 against Claim 1 of co-pending application 11/007,108 is withdrawn as the examiner believes Claim 15 does not read on Claim 1 of '108 as currently amended. The previous objections to Claims 14 and 20 are withdrawn as they are properly amended. The previous 112 rejections of Claims 8, 14, 16 to 20, and 24 to 28 are withdrawn as the claims have been amended to clarify that the pools have X number of indicia. The 112 rejections of Claim 20 and 27 are withdrawn as the meaning of "reconstitute" is clear from the specification. The examiner believes the 101 rejections are in accordance with MPEP 2106 (formerly the Interim Guidelines of Nov.

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2005), as the examiner believes the claims in the above 101 rejections are merely the rules for playing a game (a manipulation of abstract ideas, and do not sufficiently have a concrete, tangible, and useful result). The examiner had previously made only 101 and 112 rejections to the claims with no art rejections. Upon further consideration, examiner now makes art rejections in light of Kinoshita, Richardson, and Morris. The examiner now believes that Morris provides sufficient motivation to modify Kinoshita with Richardson and that any deficiencies of Kinoshita are remedied with the combination with Richardson. The examiner requests the applicants notify the examiner of any art cited in related case 10/121,884, published as 2002/0155872 A1. The case is now abandoned and the case is no longer in Image File Wrapper. The examiner respectfully disagrees with the applicants as to the claims' condition for allowance.

Conclusion

- 21. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Matthew D. Hoel whose telephone number is (571) 272-5961. The examiner can normally be reached on Mon. to Fri., 8:00 A.M. to 4:30 P.M.
- 22. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert E. Pezzuto can be reached on (571) 272-6996. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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23. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1009

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